

 <p><b>Financial Assistance Award</b></p> <p><b>DENALI COMMISSION</b>          510 L Street, Suite 410          Anchorage, Alaska 99501          (907) 271-1414 (phone)          (907) 271-1415 (fax)          www.denali.gov</p>		<b>Award Number</b>	01321-00																																																																														
		<b>Award Title</b>	Tenakee Springs Ferry Terminal - Construction Improvements																																																																														
		<b>Performance Period</b>	October 1, 2010 through December 31, 2012																																																																														
<b>Authority</b> 112 Stat 1854		<b>CFDA Number</b> 90.100		<b>Recipient Organization &amp; Address</b> Alaska Dept. of Transportation & Public Facilities PO BOX 112506 Juneau, AK 99811-2506																																																																													
<b>Denali Commission Finance Officer Certification</b>		Ms. Jennifer Price 10/25/2010		<b>Phone:</b> (907) 465-2065 <b>Recipient DUNS #</b> 617616495 <b>TIN #</b> 926001185																																																																													
<b>Cost Share Distribution Table</b>																																																																																	
<table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th rowspan="2">Accounting Code</th> <th colspan="2">New Funding</th> <th colspan="2">Prior Period Funding</th> <th rowspan="2">Total</th> </tr> <tr> <th>Denali Commission</th> <th>Other Contributors</th> <th>Denali Commission</th> <th>Other Contributors</th> </tr> </thead> <tbody> <tr> <td>95670000</td> <td>\$409,365.00</td> <td></td> <td>\$0.00</td> <td></td> <td>\$409,365.00</td> </tr> <tr> <td></td> <td>\$0.00</td> <td></td> <td>\$0.00</td> <td></td> <td>\$0.00</td> </tr> <tr> <td></td> <td>\$0.00</td> <td></td> <td>\$0.00</td> <td></td> <td>\$0.00</td> </tr> <tr> <td></td> <td>\$0.00</td> <td></td> <td>\$0.00</td> <td></td> <td>\$0.00</td> </tr> <tr> <td></td> <td>\$0.00</td> <td></td> <td>\$0.00</td> <td></td> <td>\$0.00</td> </tr> <tr> <td>SOA, DOT&amp;PF</td> <td></td> <td>\$90,000.00</td> <td></td> <td>\$0.00</td> <td>\$90,000.00</td> </tr> <tr> <td></td> <td></td> <td>\$0.00</td> <td></td> <td>\$0.00</td> <td>\$0.00</td> </tr> <tr> <td></td> <td></td> <td>\$0.00</td> <td></td> <td>\$0.00</td> <td>\$0.00</td> </tr> <tr> <td></td> <td></td> <td>\$0.00</td> <td></td> <td>\$0.00</td> <td>\$0.00</td> </tr> <tr> <td></td> <td></td> <td>\$0.00</td> <td></td> <td>\$0.00</td> <td>\$0.00</td> </tr> <tr> <td><b>Total</b></td> <td><b>\$409,365.00</b></td> <td><b>\$90,000.00</b></td> <td><b>\$0.00</b></td> <td><b>\$0.00</b></td> <td><b>\$499,365.00</b></td> </tr> </tbody> </table>						Accounting Code	New Funding		Prior Period Funding		Total	Denali Commission	Other Contributors	Denali Commission	Other Contributors	95670000	\$409,365.00		\$0.00		\$409,365.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00	SOA, DOT&PF		\$90,000.00		\$0.00	\$90,000.00			\$0.00		\$0.00	\$0.00			\$0.00		\$0.00	\$0.00			\$0.00		\$0.00	\$0.00			\$0.00		\$0.00	\$0.00	<b>Total</b>	<b>\$409,365.00</b>	<b>\$90,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$499,365.00</b>
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<b>Signature of Authorized Official - Denali Commission</b>  Electronically Signed			<b>Typed Name and Title</b> Mr. Joel Neimeyer Federal Co-Chair		<b>Date</b>  10/25/2010																																																																												

# AWARD ATTACHMENTS

Alaska Dept. of Transportation & Public Facilities

01321-00

1. Award Conditions - Tenakee Springs Ferry Terminal - Construction Improvements

***Award Conditions to the Financial Assistance Award  
Between the Denali Commission and  
Department of Transportation and Public Facilities  
For Construction Improvements to the Tenakee Springs Ferry Terminal  
Award No. 01321***

***1. Scope of Work***

The Denali Commission is providing \$409,365 in FY 09 FTA Section 5309 funds to the State of Alaska, Alaska Department of Transportation and Public Facilities (DOT&PF) for the construction phase of subject project. The State of Alaska, DOT&PF is providing \$90,000 in matching funds for the project. Denali Commission funds and other project funds will be managed by DOT&PF.

This construction project will widen the Alaska Marine Highway System (AMHS) transfer bridge at the Tenakee Springs ferry terminal to allow small vehicles to safely operate between the vessel and shore. This will allow All-Terrain Vehicles to safely carry cargo and luggage on and off AMHS vessels. The current transfer bridge configuration can safely allow only passenger handling of baggage and cargo. Inclement weather, especially in the winter, makes the existing transfer bridge a difficult journey, often requiring multiple trips to transfer goods, which in turn can cause delays in vessel operations.

This project will improve safety and ease the burden of passengers and small scale freight transfers. It will also improve operation times for AMHS vessels by providing a safer, more productive transfer bridge.

Project performance shall be monitored and measured on a quarterly basis using the documentation of record (project nomination) as a reference point, making updates as necessary. The following items shall be monitored and reported in the narrative of the quarterly report:

1. Improves pedestrian and vehicular safety
2. Reduces maintenance costs
3. Improves intermodal connections
4. Improves AMHS vessel operating efficiency

The project sponsor (Recipient) has demonstrated the administrative capability for managing Denali Commission funds, as documented by the Denali Commission Transportation Program Manager (Manager) and retained in the subject award file. Denali Commission, in consultation with the Federal Highway Administration, Alaska Division (FHWA) will provide financial management and project development technical assistance throughout the project to assist in successful project execution.

All payments for design and/or construction tasks associated with the subject project are on a reimbursable payment basis.

Department of Transportation & Public Facilities –  
Construction Improvements of the Tenekee Springs Ferry Terminal

Contractual and consulting services used in this project shall be procured in an open and fair competitive manner, consistent with the Federal Acquisition Requirements (FARS) and other applicable federal law.

Contractual services procured through sole-source contracts, or through processes that do not meet the FAR and/or Title 23 requirements, will not be eligible for Denali Commission funding.

#### CONSTRUCTION

DOT&PF will execute construction under Davis-Bacon wage requirements and in accordance with the project's approved NEPA environmental documents, Corps of Engineers permits, and other federal, state and local permits.

DOT&PF will own the improved facility and will ensure a clear Right of Way adequate for construction. The approved environmental and Right of Way documents will be retained in the project's file at Denali Commission. DOT&PF is responsible for ongoing maintenance after construction is complete.

All Commission funding is intended for use for the scope of work identified in the Award document only. Any balance of funds remaining on the award after the full scope of work has been completed will be de-obligated from the award and made available for other Denali Commission transportation projects.

## 2. *Milestones*

The following milestones are identified as the major steps to be completed as part of the project. "Planned" dates for the first milestone are included here. As part of each progress report, recipients shall update the progress toward meeting these milestones (see section 7, Reporting).

Milestone	Planned		Actual		Units	Total Cost At Completion
	Start Date	End Date	Start Date	End Date		
Construction	10/01/2010	12/31/2012			0	\$0.00
Project Close-out	01/01/2012	03/31/2012			0	\$0.00

## 3. *Award Performance Period*

The Award performance period is October 1, 2010 through December 31, 2012. This is the period during which Award recipients can incur obligations or costs against this Award.

#### ***4. Direct and Indirect Costs***

The cost principles of OMB Circular A-87 are applicable to this Award. Direct costs associated with the Tenekee Springs project are allowed under the Memorandum of Agreement (MOA) between Denali Commission and DOT&PF. Indirect costs, established through the DOT&PF Indirect Cost Allocation Plan (ICAP), are allowed as established by DOT&PF and approved by FHWA for Title 23 funds. The Denali Commission recognizes that these rates fluctuate each fiscal year and therefore retains the ICAP on file for reference. Please refer to the cost principles regulations for specific details on other allowable charges under this Award.

#### ***5. Budget and Program Revisions***

The Administrative Circular, OMB A-102, applies to this Award. Please refer to the Administrative Circular for specific details on revisions to this Award. The Administrative Circular requires that DOT&PF will inform the Commission in writing (e-mail, letter, or report) at the earliest possible date of any unanticipated project cost overrun, project schedule delays, or changes in the project scope or changed site conditions.

#### ***6. Payments***

Payments under this Award will be made by electronic transfer in response to a “Request for Advance or Reimbursement”, Standard Form 270 (SF-270) submitted by the DOT&PF. Requests for reimbursements may be made as needed or at the end of each quarter but should be submitted no later than 30 days after the federal quarter. The SF-270 must be submitted to the Denali Commission via fax, e-mail ([finance@denali.gov](mailto:finance@denali.gov)) or mail in order for payment to be processed. The form is available on the OMB website: [http://www.whitehouse.gov/OMB/grants/grants\\_forms.html](http://www.whitehouse.gov/OMB/grants/grants_forms.html). Payments shall be made in accordance with Circular A-102. Please contact the Denali Commission’s Finance Specialist at (907) 271-1414 for further information about submitting this form. **No interest will be accrued on these funds.**

#### ***7. Reporting***

Two forms of project reporting are required under this Award, listed below. DOT&PF shall submit reports using the Denali Commission’s on-line Project Database System, available at [www.denali.gov](http://www.denali.gov). If there are technical limitations which may prevent the recipient from meeting this requirement, please contact the Program Manager listed in this agreement.

- a. **Progress Reports** shall be submitted on a quarterly basis. The first reporting period is October 1, 2010 through December 31, 2010, and quarterly thereafter. Reports are due within 30 days of the end of the reporting period. Progress reports shall include the following:

- i. Total project funding, including both Denali Commission funding and other project funding sources.
  - ii. The total project expenditures for the project as of the end of the reporting period, including both Denali Commission and Other funding sources.
  - iii. Updated schedule and milestone information as identified in the Scope of Work
  - iv. Narrative summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems.
  - v. **Construction Projects** Photographic documentation of project progress shall be provided with the progress reports for active construction projects. The photo documentation shall include a minimum of three, dated photos per reporting period such that a complete record of the construction is maintained over time, from “before,” showing the situation before the start of construction, to “during” showing work proceeding on the project, and “after” to show the finished project. Photos shall be provided in a digital format as part of the on-line report. A short description of the activity and names of those in the photos shall also be provided.
- b. **Federal Single Audits** shall be submitted annually, when required. In accordance with OMB Circular A-133, which requires [subpart 200] “Non-Federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part [subpart 205]. The determination of when an award is expended should be based on when the activity related to the award occurs.”

Recipients shall also submit single audits to the Federal Clearinghouse as designated by OMB. Information can be found on the following web-site:

<http://harvester.census.gov/sac/>

- c. The DOT&PF for the City of Tenekee Springs will meet twice annually with the Commission to report lessons learned and project status. These meetings shall include community participation.

## **8. *Project/Award Close-Out***

The project close-out report shall be completed within 90 days of the end of the Award performance period or within 90 days of the completion of the project, whichever is earlier. Recipients must also draw down any remaining funds for expenditures incurred under this award during this 90-day period.

The project close-out report shall be submitted on-line through the Denali Commission's on-line Project Database System, available at [www.denali.gov](http://www.denali.gov). The project close out will require the recipient to submit the following information:

- a. Final data for each item listed in paragraph 7(a) "Progress Reports"
- b. Final project expenditures itemized by the following categories: planning & design; materials & equipment; freight; labor; project administration/overhead and other expenses.
- c. Acknowledgement of support: For all construction projects, the Award recipient shall display a sign that acknowledges the Government's support for the project(s) developed under this Award. The Commission will provide an appropriate sign. The Award recipient must request an indoor and/or an outdoor sign from the Commission office. Pickup or delivery of the sign can be arranged at that time.

## ***9. Public Policy Laws and Assurances***

Award Recipients are required to comply with the public policy laws and assurances on Standard Forms SF 424d (construction projects) these forms must also be signed by a certifying official of the organization. Some of the laws are highlighted below for your reference.

To the maximum extent practicable, considering applicable laws, Funding Recipients shall accomplish the project contemplated by the Award using local Alaska firms and labor.

No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.

Project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required for each project undertaken with Denali Commission funds.

## ***10. Non-Compliance with Award Conditions***

Recipients not in compliance with the terms and conditions of this Financial Assistance Award will be notified by the Denali Commission. The Denali Commission will work with the recipient to identify the steps necessary to bring them back into compliance, and will establish an appropriate time frame for the corrections to be made. If the corrections have not been made by the deadline, the Denali Commission reserves the right to either suspend or unilaterally terminate the Financial Assistance Award for non-performance.

***11. Program Manager, Financial Manager & Other Contact Information***

<b>Denali Commission</b>	<b>Department of Transportation &amp; Public Facilities</b>
Mr. Mike McKinnon Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-523-9877 Fax: 907-271-1415 E-mail: <a href="mailto:mgmckinnon@gci.net">mgmckinnon@gci.net</a>	Mr. Kirk Miller, Marine Engineering Manager Project Manager Southeast Region Planning P.O. Box 112506 Juneau, AK 99811-2506 Phone: 907-465-1215 Fax: 907-465-2016 Email: <a href="mailto:kirk.miller@alaska.gov">kirk.miller@alaska.gov</a>
Ms. Betty Sorensen Grants Administrator 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3415 Fax: 907-271-1415 E-mail: <a href="mailto:bsorensen@denali.gov">bsorensen@denali.gov</a>	Mr. Pete Christensen, Finance Director Southeast Region Planning P.O. Box 112506 Juneau, AK 99811-2506 Phone: 907-465-2065 Fax: 907- 465-4417 Email: <a href="mailto:peter.christensen@alaska.gov">peter.christensen@alaska.gov</a>